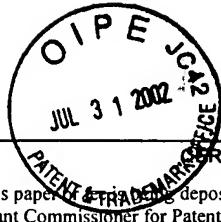


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Jacqueline S. Larson

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David S. Soane et al.

Serial No.: 10/055,837

Art Unit: 1627

Filed on: October 26, 2001

Examiner: not assigned

For: Molecular Compounds Having  
Complementary Surfaces to Targets

TRANSMITTAL LETTER

**Box Non-Fee Amendment**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are forwarded herewith for filing in the above-referenced application:

- Information Disclosure Statement
- Form PTO-1449, with copies of references cited on Sheet 10.
- A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

No fees are believed to be due as a result of the enclosed IDS.

Respectfully submitted,

Jacqueline S. Larson  
Jacqueline S. Larson, Reg. No. 30,279  
Attorney for Applicant(s)  
P.O. Box 2426  
Santa Clara, CA 95055-2426

Dated: July 25, 2002



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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R. 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). While the term "references" is used in citing the U.S. and foreign patents and publications called to attention herein, applicants do not make any admission that each or all of them are "prior art" references within the meaning of the statutory and case law. Applicants reserve the right to contend, where appropriate, that a patent or publication asserted against any claim of the present application is not prior art under the facts and the law.

Copies of the references on pages 1 to 9 of the enclosed PTO Form 1449 have not been enclosed. In accordance with 37 CFR 1.98(d), a copy of any patent,

publication or other information listed in an information disclosure statement is not required to be provided if it was previously cited by or submitted to the Office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. Copies of the references listed on pages 1 to 6 were mailed to the USPTO on 03/19/1999, copies of the references on page 7 were mailed to the USPTO on 08/04/1999, the references on page 8 were cited by the PTO in an Office Action mailed 06/13/2000, and the references on page 9 were cited by the PTO in an Office Action mailed 02/20/2001, all in patent application Serial No. 09/172,921, which application has been relied on under section 120 in the present application.

Copies of the references listed on page 10 of Form PTO 1449 are enclosed for the convenience of the Patent and Trademark Office. All references listed on Form 1449 are intended to assist in placing the application in condition for instant allowance.

Applicants request that the listed documents be made of record in the present case, and that the Examiner independently determine those items that the Examiner would consider the most pertinent of all the references cited herein.

Although the present Information Disclosure Statement is being filed more than three (3) months after the filing date of the subject application, it is filed prior to the receipt of a first Official Action reflecting an examination on the merits. Hence, it is believed to be timely filed in accordance with 37 C.F.R. 1.97(b), and no fees are believed to be due in connection with the filing of this Information Disclosure Statement.

Respectfully submitted,

*Jacqueline S. Larson*

Jacqueline S. Larson

Reg. No. 30,279

Attorney of Record

Dated: July 25, 2002

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Sheet 1 of 10

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LIST OF DOCUMENTS CITED BY APPLICANTS (Use several sheets if necessary)	PATENT NO. 5,198,002PN	SERIAL NO. 10/055,837
	APPLICANT David S. SOANE et al.	
	FILING DATE 10/26/2001	GROUP

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	02/21/78	4,075,411	Dickstein			
	2.	06/27/78	4,097,470	Drobnik et al.			
	3.	11/28/78	4,127,730	Wulff et al.			
	4.	01/24/89	4,800,162	Matson			
	5.	10/15/91	5,057,427	Wald et al.			
	6.	12/31/91	5,077,217	Matson et al.			
	7.	05/05/92	5,110,833	Mosbach			
	8.	10/06/92	5,152,978	Baba et al.			
	9.	04/06/93	5,200,315	Sutton et al.			
	10.	09/20/94	5,349,036	Simpson et al.			
	11.	10/03/95	5,455,027	Zalipsky et al.			
	12.	10/17/95	5,459,127	Felgner et al.			
	13.	10/17/95	5,459,176	Bae et al.			
	14.	10/24/95	5,461,175	Fischer et al.			
	15.	12/24/96	5,587,273	Yan et al.			

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Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES	NO
	16.	12/15/88	WO 88/09981	PCT				
	17.	03/18/93	WO 93/05068	PCT				
	18.	05/13/93	WO 93/09075	PCT				
	19.	05/26/94	WO 94/11403	PCT				
	20.	07/07/94	WO 94/14835	PCT				
	21.	11/24/94	WO 94/26381	PCT				
	22.	08/17/95	WO 95/21673	PCT				
	23.	11/28/96	WO 96/37527	PCT				
	24.	06/26/97	WO 97/22366	PCT				
	25.	08/07/97	DE 19627 162 C1	Germany			(English abstract)	

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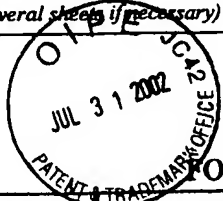
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10/055,837

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FILING DATE 10/26/2001

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## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation	
							YES	NO
	26.	03/26/93	SE 9102843	Sweden (English abstract only)				
	27.	03/20/96	SE 9403154	Sweden (English abstract only)				

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ALNS-002PNSERIAL NO.  
10/055,837

APPLICANT

David S. SOANE et al.

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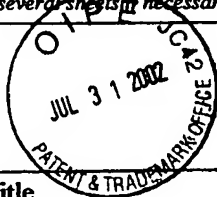
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Sheet 4 of 10

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	<b>APPLICANT</b> David S. SOANE et al.	
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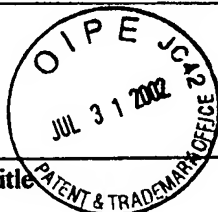
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	APPLICANT David S. SOANE et al.	
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U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	09/13/88	4,770,906	Harwell et al.			
	2.	05/10/94	5,310,648	Arnold et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	3.	03/11/99	198 23 432 A1	Germany			Abstract

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	APPLICANT David S. SOANE et al.	
	FILING DATE 10/26/2001	GROUP

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	REF. NO.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A-1	4,107,288	08/15/78	Oppenheim, et al.			
	A-2	5,510,118	04/23/96	Bosch, et al.			
	A-3	5,534,270	07/09/96	De Castro			
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	A-10	6,177,513	01/23/01	Takeuchi et al.			
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	A-12	4,622,362	11/11/86	Rembaum			
	A-13	5,916,596	06/29/99	Desai et al.			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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